City of Los Angeles Responsible Banking Investment Monitoring Program For Investment Banks

Investment banks providing City investment banking services or seeking City investment banking business must complete and submit this form no later than July 1st of each year to the City Administrative Officer to comply with Chapter 5.1, Section 20.95.1 of the Los Angeles Administrative Code.

t Informati	ion:					
Financial Insti	itution					
Idress		City	State	Zip Code		
Person Name	and Title					
Telephone No. Email Address						
answer the	following question	ons for the preceding ca	lendar year.			
Did your firm make monetary donations to charitable programs within the City limits? Yes No If yes, please complete the attached form.						
	Did your firm provide any scholarship awards to residents of the City of Los Angeles? Yes No					
designated	oes your firm have internal policies regarding utilization of subcontractors which are esignated as "women owned," "minority owned," or "disabled" business enterprises? es No If yes, please provide a copy of your policies.**					
CERTIFICATION UNDER PENALTY OR PERJURY						
nd the resp rovided full	onses contained and complete a	in the form and on all nswers to each question	the attachments. I on, and that all info	I further certify that I ormation provided in		
		Tom My	·			
ame, Title		Signature		Date		
	Financial Institute dress Person Name e No. answer the Yes N Did your f Yes N a. b. Does you designated Yes N under person of the responded full the responded full the formula to this formula the formu	Person Name and Title e No. answer the following question Did your firm make mone Yes No If yes, pleas Did your firm provide any Yes No a. How many sch b. What was the to Does your firm have inter designated as "women ow Yes No If yes, pleas CERTIFICAT under penalty of perjury the did the responses contained rovided full and complete a se to this form is true and acceptable.	Financial Institution dress City Person Name and Title e No. Email Addre answer the following questions for the preceding ca Did your firm make monetary donations to chari Yes No If yes, please complete the attach Did your firm provide any scholarship awards to Yes No a. How many scholarships were awarded b. What was the total value of the awards Does your firm have internal policies regarding to designated as "women owned," "minority owned Yes No If yes, please provide a copy of you CERTIFICATION UNDER PENALT under penalty of perjury that I have read and under the did the responses contained in the form and on all provided full and complete answers to each questions see to this form is true and accurate to the best of my	Financial Institution dress City State Person Name and Title e No. Email Address answer the following questions for the preceding calendar year. Did your firm make monetary donations to charitable programs w Yes No If yes, please complete the attached form. Did your firm provide any scholarship awards to residents of the C Yes No a. How many scholarships were awarded? b. What was the total value of the awarded scholarships? Does your firm have internal policies regarding utilization of subcedesignated as "women owned," "minority owned," or "disabled" by Yes No If yes, please provide a copy of your policies.** CERTIFICATION UNDER PENALTY OR PERJURY under penalty of perjury that I have read and understand the question of the covided full and complete answers to each question, and that all infects to this form is true and accurate to the best of my knowledge and be the covided form of the covided form is true and accurate to the best of my knowledge and be covided form is true and accurate to the best of my knowledge and be considered.		

PLEASE SEND THE ORIGINAL SIGNED FORM TO THE ADDRESS BELOW AND EMAIL A COPY TO CAO.DEBT@LACITY.ORG.

Office of the City Administrative Officer 200 North Main St. Room 1500 Los Angeles, CA 90012 Attention: Debt Management Group

- * MS&CO LLC offers a number of scholarships through the Richard B. Fisher Scholarship Program and a Morgan Stanley Fellowship MBA Program. While scholarships have been awarded to residents of L.A., we do not keep historical data on each individual scholarship or the amount awarded
- ** No formal written policy, but MS&CO LLC encourages opportunities for diverse business owners; please see http://www.morganstanley.com/about-us/supplier-diversity

Attachment for Question #1 - Responsible Banking Investment Monitoring Program for Investment Banks

Name of Charitable Organization	Туре	Amount (\$)
2015 Special Olympics World		\$5,000.00
Adopt A Highway Litter Removal		\$3,600.00
Alpfa		\$3,000.00
Alzheimers Disease & Realted		\$225.00
American Friends Of Magen		\$1,800.00
American Jewish Committee		\$1,000.00
Black Women Lawyers		\$750.00
Bosana Foundation		\$2,000.00
C5 Youth Foundation Of		\$12,500.00
California Science Center		\$10,000.00
California Science Center Foundation		\$10,000.00
Cedars Sinai		\$15,150.00
Children S Bureau		\$13,500.00
Chmc Foundation		\$1,000.00
City Year		\$5,000.00
Crohns & Colitis Foundation		\$1,000.00
Deloitte Llp		\$2,000.00
Downtown Women S Center		\$5,000.00
Fairchild Publishing, Llc		\$9,000.00
Families In Schools		\$2,000.00
Friendly Hand Foundation		\$1,500.00
Geffen Playhouse		\$15,000.00
Girl Scouts Of Greater La		\$2,250.00
Hispanic Scholarship Fund		\$25,000.00
Норе		\$7,500.00
Ign Foundation		\$5,000.00
Independent School Alliance		\$5,000.00
Inquilinos Unidos		\$500.00
Insurance Industry Charitable Foundation Inc		\$1,000.00
Ivie Mcneil & Wyatt		\$2,500.00
Junior Achievement Of Southern Ca		\$1,000.00
Kevin Pearce		\$5,000.00
Kipp La Schools		\$500.00
La S Promise		\$10,000.00

Attachment for Question #1 - Responsible Banking Investment Monitoring Program for Investment Banks

Name of Charitable Organization	Туре	Amount (\$)
Ladylike Foundation	* *	\$20,000.00
Lmu Baseball		\$500.00
Los Angeles Chapter - Nbmbaa		\$5,000.00
Los Angeles Conservation Corps		\$2,500.00
Los Angeles County Museum Of		\$10,000.00
Los Angeles Dodgers Foundation		\$10,000.00
Los Angeles Lgbt Center		\$14,500.00
Los Angeles Philharmonics		\$15,000.00
Mercy For Animals		\$5,500.00
Mexican American Legal Defense		\$1,550.00
Motivating Our Students Through Experience (Moste)		\$5,000.00
National Sales Network		\$5,000.00
Oscar De La Hoya Foundation		\$1,000.00
Reaching Out Mba Inc		\$11,275.00
Revolt Media & Tv Llc		\$10,000.00
Rmhcsc		\$1,500.00
Simon Wiesenthal Center Inc		\$16,500.00
Spark Program, Inc.		\$12,500.00
The Aleph Institute		\$3,600.00
The Dream Center Foundation		\$2,000.00
The Grammy Museum Foundation		\$10,000.00
The Hirshberg Foundation		\$500.00
The Widows Orphans & Disabled		\$1,000.00
UCLA Foundation		\$73,050.00
Union Rescue Mission		\$20,450.00
University Of Southern California		\$19,000.00
Usc Apaa		\$3,000.00
Usc Marshall School Of		\$10,000.00
Variety Boys & Girls Club		\$1,000.00
Wasserman Media Group Llc		\$15,000.00
Watts Willowbrook Boys & Girls		\$50,000.00
Westside Family Ymca		\$2,000.00
		\$543,200.00